

***Falling Cats, ...***

organized around 2 open questions

Q1. in subRiemannian geometry:  
is every geodesic smooth?

Q2. For the planar  $N$ -body prob:  
Is every braid type realized  
by a collision-free zero  
angular momentum solution?

≡ Hot off the press ≡  
posted yesterday!

An Answer to Q1.

No.  $\exists$  a SR structure  
on  $\mathbb{R}^3$  admitting as SR  
geodesic which is  $C^2$  but  
not  $C^3$ . Explicitly

$$\gamma(s) = (s^{5/2}, s, 0) + o(s^{5/2})$$

with  $s = \text{arc length}$

$$0 \leq s \leq 2.$$



# Not all sub-Riemannian minimizing geodesics are smooth

Alessandro Socionovo, Yacine Chitour, Frédéric Jean, Roberto Monti, Ludovic Rifford, Ludovic Sacchelli, Mario Sigalotti

We consider the sub-Riemannian structure  $(\Delta, g)$  in  $\mathbb{R}^3$  with coordinates  $(x_1, x_2, x_3)$ , generated by an orthonormal family of vector fields  $\{X^1, X^2\}$  defined as

$$X^1 = \partial_1 \quad \text{and} \quad X^2 = \partial_2 + P(x)^2 \partial_3,$$

where

$$P(x) = x_1^2 - x_2^m \quad \forall x = (x_1, x_2, x_3) \in \mathbb{R}^3,$$

and  $m$  is an odd integer satisfying  $m \geq 5$ . Besides the motivations described above, the counterexample took this particular form after a study of several types of possible examples in [18], its structure (in particular with the square of  $P$ ) being inspired by the Liu–Sussmann example [10].



this new news changes  
my talk & talk structure  
what you see here is  
called from to planned  
talk. The given talk  
deviated at various times.

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start w overview  
of my career:

UNDER THE SPELL  
OF THE  
PRINCIPLE OF LEAST ACTION

(pre-title)

P. de Fermat, P.L. Maupertuis,  
J.L. Lagrange, W.R. Hamilton

Vol. 19

# UNDER THE SPELL OF THE GAUGE PRINCIPLE

← subtitle

(an actual book)

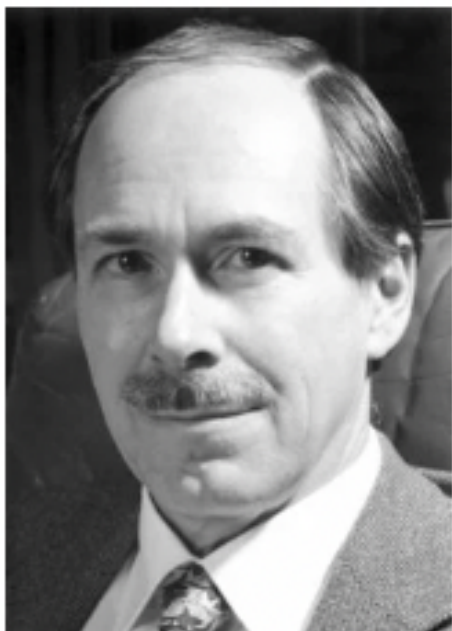
G.'t Hooft

I witnessed a revolution. Gauge theory from physics invaded differential geometry and topology and remarkable advances were made.  
I was a grad student in Berkeley from 1980 to 1985.

dry

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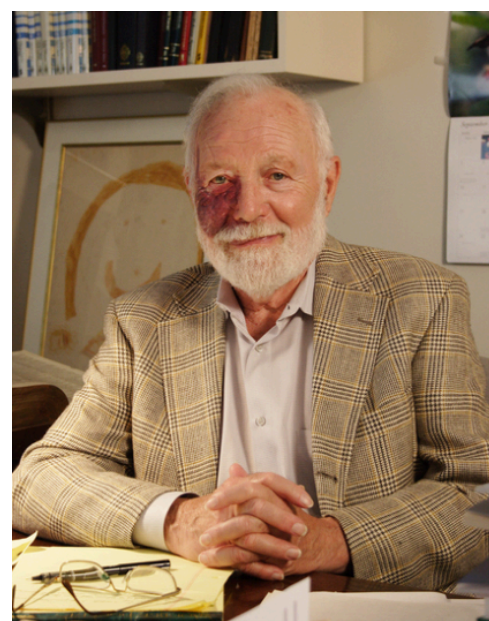




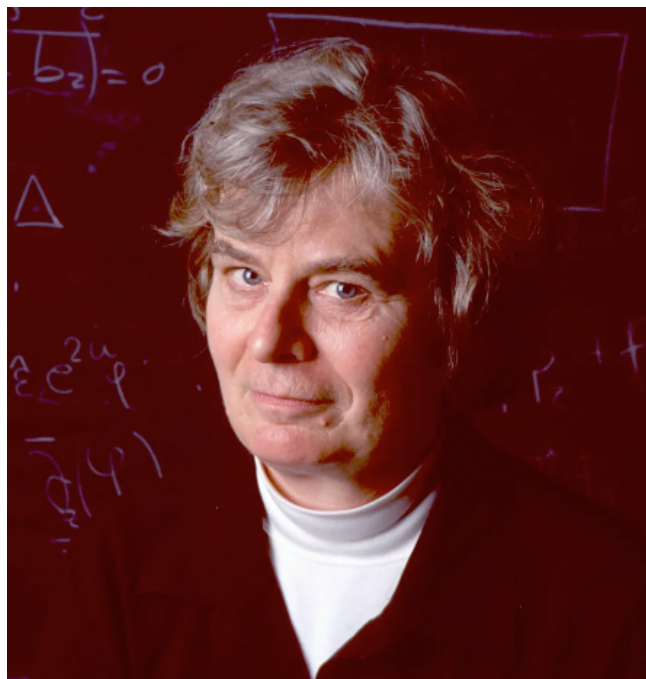
**t'Hooft**



**Atiyah**



**Singer**



**Uhlenbek**



**Taubes**



**Donaldson**

~~I witnessed a revolution. Gauge theory from physics invaded differential geometry and topology and remarkable advances were made.~~

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Taubes was there. Singer was there. A PDE arising in gauge theory became employed to say remarkable things about 4 dimensional topology.

→ the anti-self-dual Yang-Mills eqn

**Method:** Given a compact 4-manifold, attach to it the moduli space of solutions to a certain non-linear PDE over  $M$ . One component of this moduli space was  $M$  itself! From properties of this moduli space one could conclude surprising theorems about  $M$ .

This PDE is the “anti-self-dual Yang Mills equations”. G. t’Hooft gave the first example of solutions. The conformal group acts on the solutions, and as a result they concentrate. Taubes showed how to glue these concentrated t’Hooft instantons onto any 4-manifold  $M$ , provided  $b_2^+ = 0$

To define a gauge theory

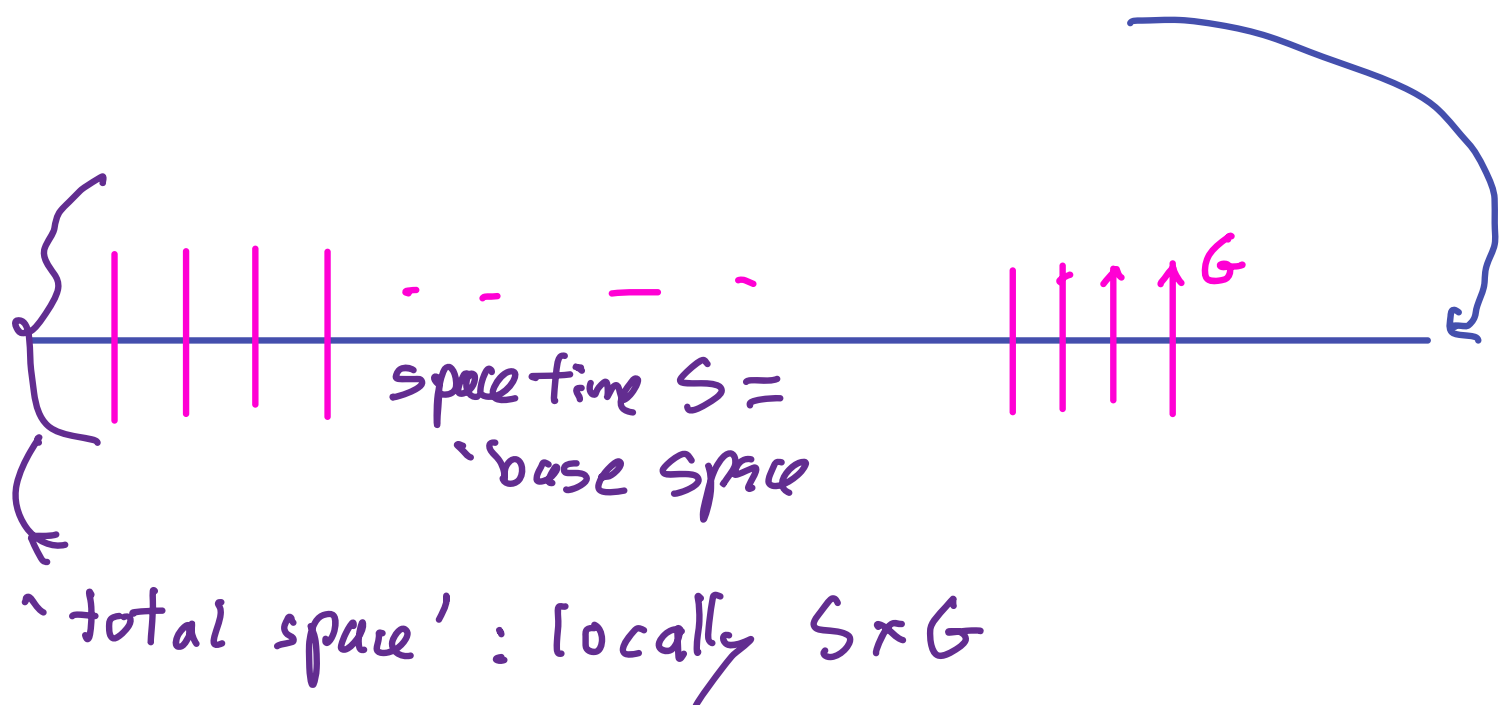
Choose a ~~compact~~ Lie Group  $G$ .

In high-energy physics . . .

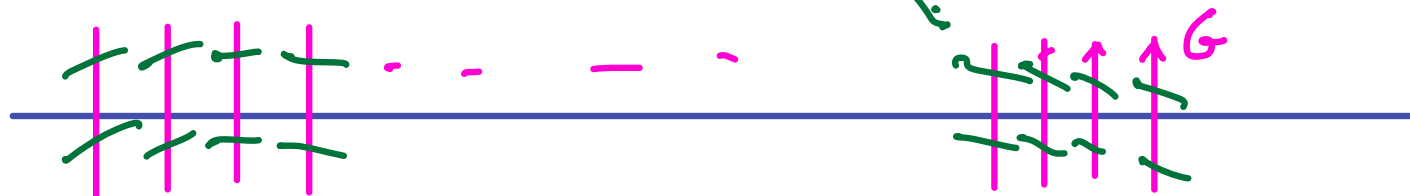
$S^1$  : electromagnetism } electroweak

$G = SU(2)$  : weak

$G = SU(3)$  : strong



Connections on p. bundles  
 = gauge fields  
 =  $G$ -inv choice of horizontal

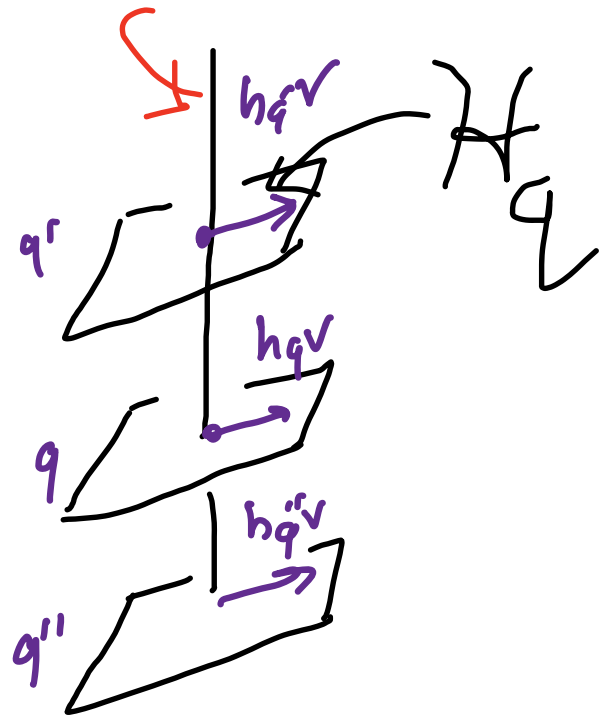
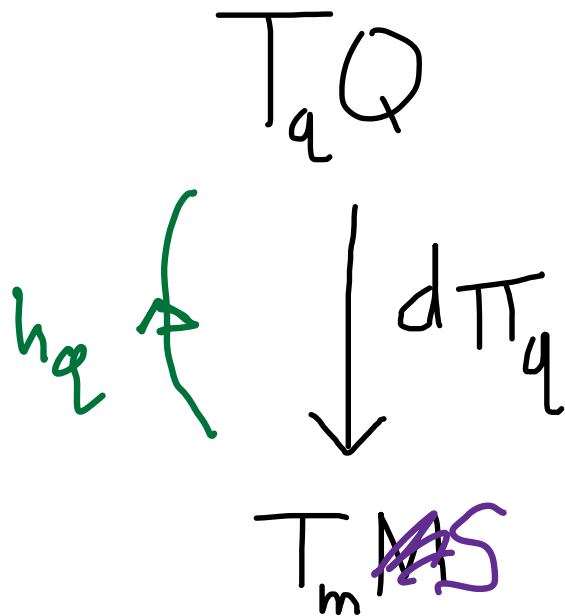


(1-d)

= way to lift horizontal paths from  $S$  to  $Q$



fiber  $\pi^{-1}(m) = G \cdot q$

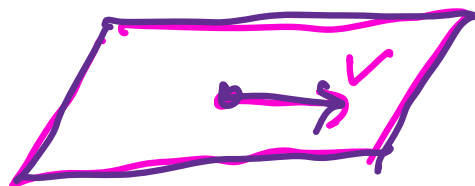


$TQ = V \oplus \mathcal{H}$   $G$ -invariant splitting

$\mathcal{H}$  defines 'connection'  
horizontal space

vertical space  
 $\text{Ker}(d\pi)$ ;

$\mathcal{H}_q = \text{im } h_q = \text{Ker } A_q$



Generalities: A loop  
in base, when lifted to  
total space, **need not close up!**

Parameterize loop  $c(t)$  in  $S$   
w/  $c(0) = c(1)$ .

Then lift  $q(t)$  satisfies

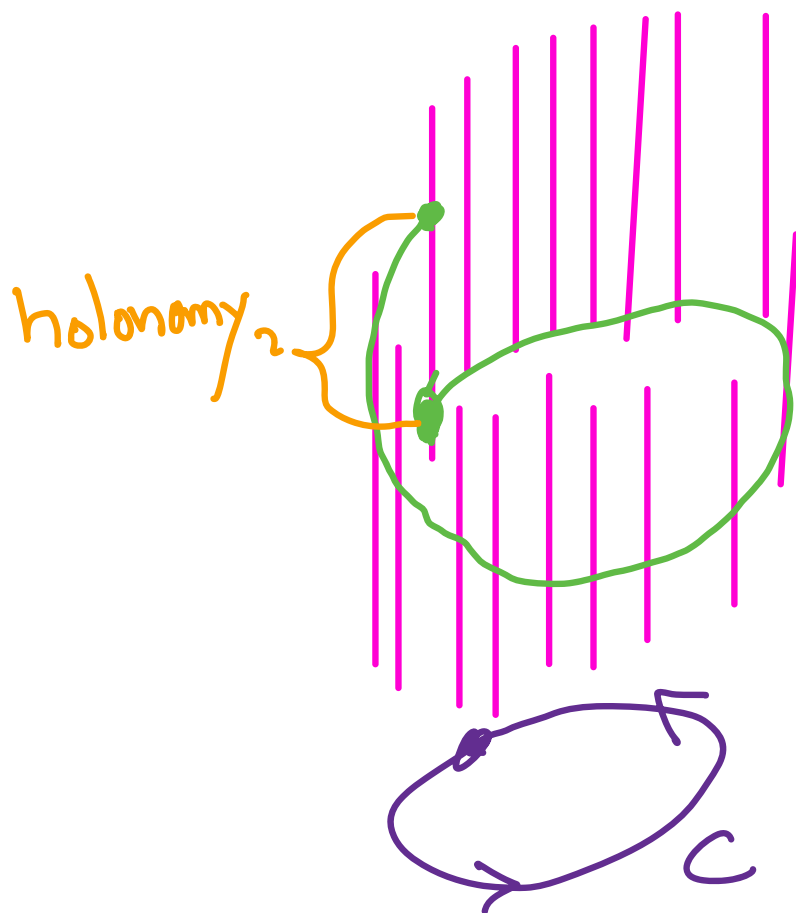
$$\bullet \pi(q(1)) = \pi(q(0))$$

so  $q(1) = g \cdot q(0)$

some  $g \in G$ .

This  $g$  is called  
the holonomy of the  
loop  $\subset$  (as based at  $q(0)$ )

Picture



An example we can draw:

$$Q = \mathbb{R}^3 = \mathbb{R}^2 \times \mathbb{R} \\ = S \times G$$

so  $G = \mathbb{R}$  additive group

Connection: planes

$$D_{(x,y,z)} = \text{Ker} \{ dz - a(x,y) dy \} \\ = \text{span} \{ \partial_1, \partial_2 + a(x,y) \partial_3 \}.$$

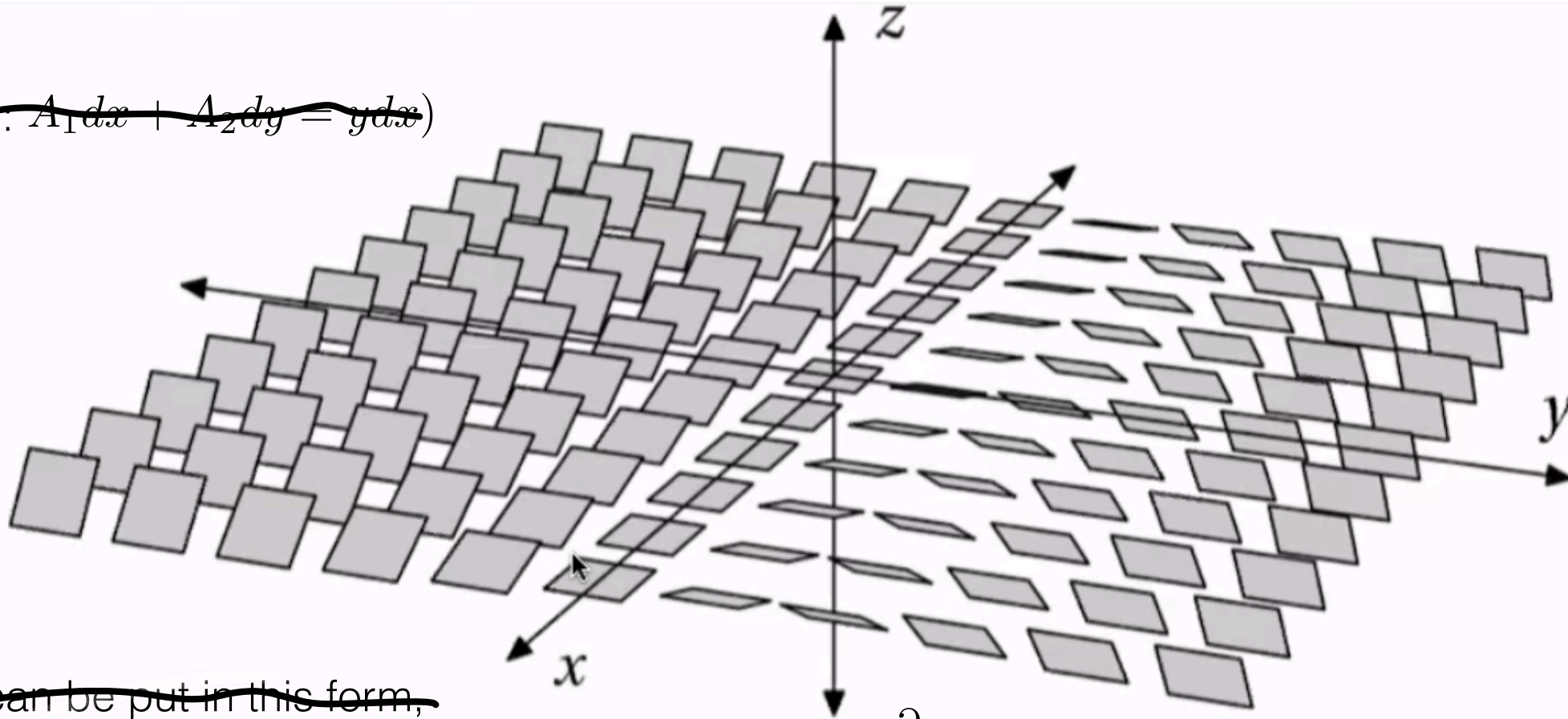
$$(\partial_i = \frac{\partial}{\partial x} = (1, 0, 0) \\ \text{etc} \dots)$$

Two-plane fields in 3-space:  $\{dz - A_1(x, y)dx - A_2(x, y)dy = 0\}$

'distribution',  $D$

one-form,  $\theta$

~~(here:  $A_1 dx + A_2 dy = y dx$ )~~



~~$D$  can be put in this form,~~

~~provided: the two-planes don't go vertical:~~

$$\frac{\partial}{\partial z} \neq D(x, y, z)$$

~~and they are invariant under z-translations~~

Here:  $G = \mathbb{R}$ ,  $S = \mathbb{R}^2$ ,  $Q = \mathbb{R}^3 = \mathbb{R}^2 \times \mathbb{R}$ .

For connection: field of two planes  
spanned by

$$\begin{cases} X = \partial_1 & = (1, 0, 0) \\ Y = \partial_2 + a(x, y)\partial_3 & = (0, 1, a_3) \end{cases}$$

or annihilated by  $dz - a(x, y)dy$

Horizontal curve:

= curve tangent to

$$\mathcal{H} = \text{Span}(X, Y)$$

so if  $\gamma(t) = (x(t), y(t), z(t))$

is horizontal then:

$$\dot{\gamma} = u_1 X + u_2 Y$$

$u_1, u_2$  fun of  $t$

or:

$$\dot{x} = u_1$$

$$\dot{y} = u_2$$

$$\dot{z} = a(x, y) u_2$$

Equivalently: draw any  
 $C^1$  curve in  $\mathbb{R}^2$ ,

say  $C = (x(t), y(t))$ .

defines  $\gamma = (x(t), y(t), z(t))$

$$\text{by } z(t) = z(0) + \int_0^t a(x(t), y(t)) \dot{y}(t) dt$$

$$\text{or } dz = a dy$$

if  $C$  is a loop in  $\mathbb{R}^2$ :

$$\text{say, } c(0) = c(1)$$

then

$$\Delta z = z(1) - z(0)$$



$$\begin{aligned}
 \dots &= \oint a \, dy \\
 &= \oint_{\text{disc}} d(a \, dy) \quad \left. \begin{array}{l} \text{Stoke's} \\ \text{Thm} \end{array} \right\}
 \end{aligned}$$

$$= \iint B \, dx \, dy$$

where  $B = \frac{\partial a}{\partial x}$

N.B.

$$\begin{aligned}
 [X, Y] &= [\partial_1, \partial_2 + a \partial_3] \\
 &= (\partial_1 a) \partial_3 \\
 &= B(x, y) \partial_3
 \end{aligned}$$



So

Holonomy about  $C$

$$= \int \text{curvature}$$

$$\begin{aligned} \text{curvature} &= B dx dy \\ &= d(a dy) \end{aligned}$$

here.

$\sim \subset \Rightarrow$

## Geometry of self-propulsion at low Reynolds number

By ALFRED SHAPER<sup>†</sup> AND FRANK WILCZEK<sup>‡</sup>

<sup>†</sup>Institute for Advanced Study, Princeton, NJ 08540, USA

<sup>‡</sup>Institute for Theoretical Physics, University of California, Santa Barbara, CA 93106, USA

(Received 15 April 1987 and in revised form 12 July 1988)

The problem of swimming at low Reynolds number is formulated in terms of a gauge field on the space of shapes. Effective methods for computing this field, by solving a linear boundary-value problem, are described. We employ conformal-mapping techniques to calculate swimming motions for cylinders with a variety of cross-



Jair  
Koiller

Richard



!

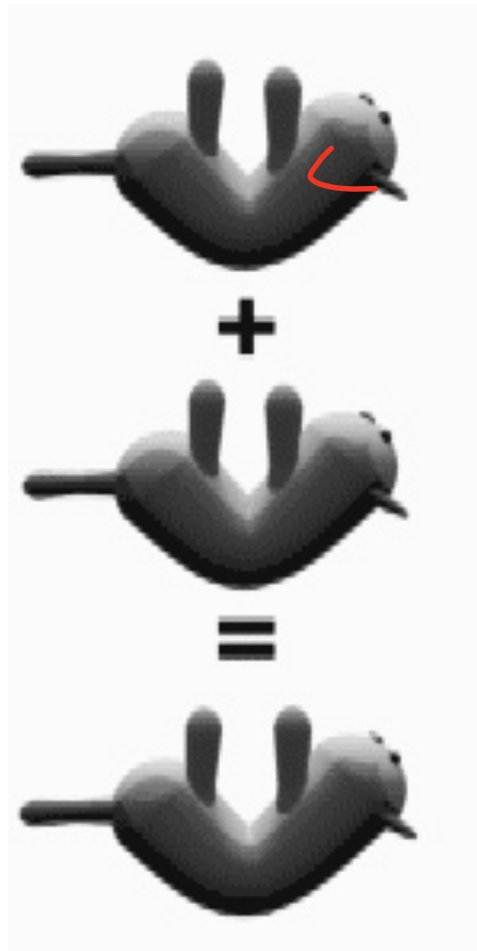
me

# **GEOMETRIC PHASES IN PHYSICS**



**Alfred Shapere  
Frank Wilczek**

1 heavy



Falling cat wiki

idea

heavy

$$(5.1.4) \quad M(t, x, \bar{\lambda}) = \inf_{u \in U} H(t, x, u, \bar{\lambda}).$$

The necessary condition for Lagrange problems now takes the form below, which we shall deduce explicitly from (4.2.i) in Section 5.2. A direct proof is indicated in Section 7.3I.

**5.1.i (THEOREM).** *Under the hypotheses listed above, let  $x(t) = (x^1, \dots, x^n)$ ,  $u(t) = (u^1, \dots, u^m)$ ,  $t_1 \leq t \leq t_2$ , be an optimal pair, that is, an admissible pair  $x, u$  such that  $I[x, u] \leq I[\bar{x}, \bar{u}]$  for all pairs  $\bar{x}, \bar{u}$  of the class  $\Omega$  of all admissible pairs. Then the optimal pair  $x, u$  has the following properties:*

(P1') *There is an absolutely continuous vector function  $\bar{\lambda}(t) = (\lambda_0, \lambda_1, \dots, \lambda_n)$ ,  $t_1 \leq t \leq t_2$  (multipliers), which is never zero in  $[t_1, t_2]$ , with  $\lambda_0$  a constant in  $[t_1, t_2]$ ,  $\lambda_0 \geq 0$ , such that*

$$d\lambda_i/dt = -H_{x^i}(t, x(t), u(t), \bar{\lambda}(t)), \quad i = 1, \dots, n, \quad t \in [t_1, t_2] \text{ (a.e.)}.$$

(P2') *For every fixed  $t$  in  $[t_1, t_2]$  (a.e.), the Hamiltonian  $H(t, x(t), u, \bar{\lambda}(t))$  as a function of  $u$  only (with  $u$  in  $U$ ) takes its minimum value in  $U$  at  $u = u(t)$ :*

$$M(t, x(t), \bar{\lambda}(t)) = H(t, x(t), u(t), \bar{\lambda}(t)), \quad t \in [t_1, t_2] \text{ (a.e.)}.$$

(P3') *The function  $M(t) = M(t, x(t), \bar{\lambda}(t))$  is absolutely continuous in  $[t_1, t_2]$  (more specifically,  $M(t)$  coincides a.e. in  $[t_1, t_2]$  with an AC function), and*

$$\begin{aligned} dM/dt &= (d/dt)M(t, x(t), \bar{\lambda}(t), u(t)) \\ &= H_t(t, x(t), u(t), \bar{\lambda}(t)), \quad t \in [t_1, t_2] \text{ (a.e.)} \end{aligned}$$

(P4') *Transversality relation:*

$$\lambda_0 dg - M(t_1) dt_1 + \sum_{j=1}^n \lambda_j(t_1) dx_1^j + M(t_2) dt_2 - \sum_{j=1}^n \lambda_j(t_2) dx_2^j = 0$$

for every  $(2n+2)$ -vector  $h = (dt_1, dx_1, dt_2, dx_2) \in B'$ , that is,

$$(5.1.5) \quad \lambda_0 dg + \left[ M(t) dt - \sum_{j=1}^n \lambda_j(t) dx^j \right]_1^2 = 0.$$

The transversality relation is identically satisfied if  $t_1, x_1, t_2, x_2$  are fixed, that is, for the boundary conditions which correspond to the case that both end points and times are fixed ( $dt_1 = dx_1^i = dt_2 = dx_2^i = 0$ ,  $i = 1, \dots, n$ ). For Lagrange problems of course  $g = 0$ ,  $dg = 0$ .

Here  $x, u$  is an admissible pair itself, so that the differential equations

$$(5.1.6) \quad dx^i/dt = f_i(t, x(t), u(t)), \quad i = 1, \dots, n,$$



Steve Zelditch

"talk to him" (R.I.P.)



Shankar Sastry. EFCS control



Alex Pines : NMR, quantum chemist.

Jerry & Alan 'talk to him'

1987-9











**Wu-yi Hsiang**



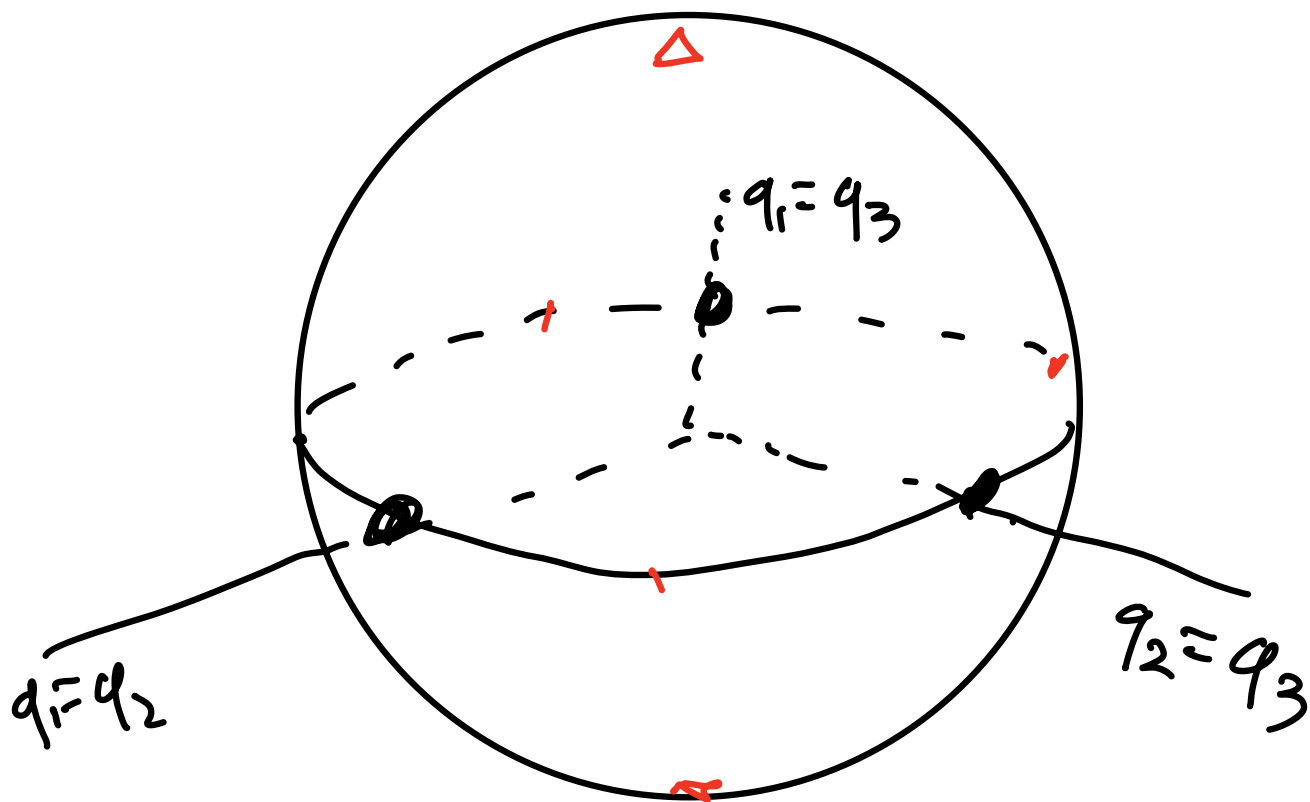
**Chris Golé**

⋮

Shape space;



cat consisting  
of 3  
mass points.



shape sphere  
brads, etc